AN EFFICIENT ASYMMETRIC SPIROANNULATION PROCESS

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Abstract : After hydrolytic work-up, intramolecular Michael-type alkylation of imine 11 gave spiro adduct 13 with a high degree of control of the two newly created asymmetric centers.

Although spiro centers are widely distributed in natural products (e.g. in sesquiterpenes β -vetivone 1, α -cedrene 2, α -chamigrene 3, ...)¹, very few methods have been devoted to the enantioselective construction of such carbon units².



Spiro centers –which are, in fact, particular cases of quaternary carbon atoms– might easily be created by using an *intramolecular variant* of the highly potent asymmetric Michael alkylation that we disclosed³, epitomized by the conversion $[4 \rightarrow 8]$. Thus, imines 5, derived from *racemic* α -substituted cyclanones 4 and optically active 1-phenylethylamine, add to electron-deficient alkenes 7 (the reactive nucleophilic species being tautomeric enamines 6) to give, after hydrolytic work-up, regio- and stereoselectively α -disubstituted cyclanones 8 with high yields and excellent enantiomeric excesses.



In this paper we report the successful intramolecular application of this method to the preparation of the spiro [5.5] undecane derivative 13, with a very high level of control of the (*relative and absolute*) configurations of the two newly created asymmetric centers.

The requisite starting material 10 –a cyclohexanone α -substituted by a methyl heptenoate side-chainwas readily prepared as follows. The lithio salt of the imine derived from cyclohexanone and cyclohexylamine (LDA, THF, -78 °C, 0.5 h) was first alkylated with 1-bromo-5-hexene (20 °C, 12 h, then aqueous AcOH), giving quantitatively ketone 9. Ozonolysis of the latter compound (CH₂Cl₂, -78 °C, then Me₂S), followed by Wittig condensation (Ph₃P=CH-COOMe, 20 °C, 24 h) gave the desired (*E*) keto-ester 10 (65 % overall yield)⁴.



Imine 11, prepared from this keto-ester and (R) 1-phenylethylamine (3 h in refluxing cyclohexane, in the presence of an activated catalyst⁵), was obtained quantitatively, as an equimolar mixture of diastereoisomers (¹H NMR)⁶. Thermally-induced cyclization of this imine (DMF, 120 °C, 12 h) led to the spiro derivative 12 (85 % yield) with a high stereocontrol of the two newly created adjacent asymmetric centers (¹H NMR)⁷. Hydrolysis (aqueous AcOH) of this imine then gave quantitatively keto-ester 13. This compound exhibits *a high stereochemical homogeneity*, as established by the inspection of its ¹H and ¹³C NMR spectra⁸.

Note that the "one pot" conversion $[10 \rightarrow 13]$ could easily been performed, by heating an equimolar mixture of keto-ester 10 and chiral auxiliary amine in refluxing toluene (24 h, with azeotropic removal of water), followed by hydrolytic work-up.



The virtually complete control of the two asymmetric centers in keto-ester 13 was definitively proved by the following experiments, which established the homogeneity of its ester 14^9 and amide 15^{10} derivatives. These were obtained by *quantitative* derivatization of adduct 13, using (R) 1-phenylethanol or (R) 1-phenylethylamine as chirality markers, respectively. Careful HPLC analysis of ester 14 thus revealed the presence of only two minor accompanying isomers (each accounting for less than 5 % of the mixture), while the melting point of crude amide 15 was found to be almost unchanged, by fractional recrystallization in three different solvents (Et₂O, cyclohexane, iPr₂O).

The absolute configurations of the two contiguous asymmetric centers created during this spiroannulation reaction (1S,2R), as shown in compounds 12, 13, 14, 15) were unambiguously established by



(a) \underline{i} : LiOH then H_3O^+ \underline{ii} : (R) 1-phenylethanol, DCC, DMAP (b) \underline{i} : LiOH then H_3O^+ \underline{ii} : (COCl)₂ \underline{iii} : (R) 1-phenylethylamine

the X-ray structure determination of amide 15^{11} (Fig. 1), taking advantage of the (R) 1-phenylethylamine moiety as an *internal stereochemical probe*.

Discussion

The spiroannulation process described here shows that the *intramolecular* Michael addition of imines to a crotonate-type acceptor proceeds smoothly, compared to the related *intermolecular* alkylation^{3d}. Moreover, in close analogy with the aforementioned intermolecular reaction $[4 \rightarrow 8]$, intramolecular addition in compound 11 is highly regioselective, taking place at the *more substituted* α -position of the imine, with the formation of spiro derivative 12 alone.

The relative configuration of the two newly created asymmetric centers in this adduct (C2-C12 bond of the acetate side-chain syn to the C1-C11 bond of the ring system) may easily be rationalized by assuming that this spiroannulation proceeds through the compact approach 16 (the reactive tautomeric enamine form of imine 11). This approach involves a gauche (synclinal) arrangement between the ethylenic ester and the enamine parts, thereby allowing the transfer of the proton borne by the enamine nitrogen atom to the vinylic carbon center in the α -position to the ester, concertedly with the creation of the C-C bond (aza-ene-synthesis-like cyclic transition state)^{3d}. Stereocorrelation model 16 also takes into account the observed absolute configurations of the two newly asymmetric centers in adduct 12, making the assumption that this intramolecular Michael addition occurs, as indicated, on the π -face of the enamine opposite the phenyl ring of the chiral auxiliary amine appendage, the latter being depicted in its energetically preferred conformation^{3d,3g}.



Fig. 1 : Molecular structure of amide 15

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- 4. **9** : bp 130 °C (20 torr). **10** : IR (neat) 1740, 1710, 1660 cm⁻¹.
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- 6. **11** : ¹H NMR (90 MHz, CCl_4) δ 1.35 (d) 1.33 (d).
- 7. **12** : ¹H NMR (90 MHz, CCl_4) δ 1.33 (d).
- 8. **13** : oil ; $[\alpha]^{20}_{D}$ + 43.3° (c = 6.66, EtOH) ; IR (neat) 1735, 1700 cm⁻¹ ; ¹H NMR (90 MHz, CDCl₃) δ 3.55 (s, 3H) ; ¹³C NMR (20 MHz, CDCl₃) δ 215.3 (s) 174.2 (s) 51.7 (s) 51.5 (q) 39.4 (t) 38.2 (d) 37.3 (t) 35.0 (t) 32.9 (t) 27.7 (t) 27.0 (t) 23.4 (t) 21.4 (t) 20.4 (t).
- 14 : oil ; ¹H NMR (90 MHz, CDCl₃) δ 1.50 (d, 3H) 5.85 (q, 1H) 7.30 (m, 5H) ; HPLC : Spherisorb S5W, 4.9 mm ID x 25 cm, AcOEt/cyclohexane 3:97.
- 10. **15** : mp 133 °C ; ¹H NMR (250 MHz, CDCl₃) δ 1.47 (d, J = 6.9 Hz, 3H) 5.10 (m, 1H) 5.97 (d, J = 7.60 Hz, 1H) 7.30 (m, 5H).
- 11. **15** Crystallographic study. C21 H29 N O2, M = 327.47. Crystals belong to the orthorhombic system (iPr₂O), space group P2₁2₁2₁Z = 4. Cell parameters : a = 8.921 (4), b = 9.852 (5), c = 21.777 (8) Å, V = 1913.97 Å³, d_c = 1.14 gcm⁻³, λ (CuK α) = 1.5418 Å, μ = 4.9 cm⁻¹ (absorption ignored). Diffractometric intensity data were obtained from a very small crystal of 0.35 x 0.30 x 0.05 mm. From the 3080 unique reflections collected by the Θ 2 Θ scan-technique up to Θ = 60 °, only 1161 were considered as observed having I \geq 2.0 σ (I) $-\sigma$ (I) from counting statistics and kept in refinement calculations. The structure was solved by direct methods⁽¹⁾ and refined by least-squares minimizing the function $\Sigma w(\Delta F)^{2-(2)}$.

Most of the hydrogen atoms were located on difference Fourier maps. All of them were introduced in the refinement at theoretical positions (d C-H= 1.00 Å), except that one linked to nitrogen atom N-1, refined. They were assigned the equivalent isotropic thermal factor more 10 % of the bonded carbon atom. Final conventional R factor was 0.071, R_w was 0.083 with w = $1/\sigma^2(Fo)+0.0095$ Fo², residual electron density between -0.25 and 0.21 eÅ⁻³.

In the crystal, the molecules are linked in infinite chains by means of hydrogen bonds built between the hydrogen atoms H-1 and the oxygen atoms O-2 of the nearest molecules (-x, +0.5+y, 1.5-z) (bonds N-1...O-2 = 2.93 (1), H-1...O-2 = 1.84 (2) Å, angle N-1...H-1...O-2 = 168.6°).

Lists of the atomic coordinates, bond distances and angles, torsion angles, have been deposited at the Cambridge Crystallographic Data Centre, U.K., as Supplementary Material.

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